Responsibilities in an Export Transaction (§30.3 (e))

U.S. Principal Party in Interest (USPPI) Responsibilities:

- Act on his own behalf and file the EEI
- Appoint an authorized U.S. agent to prepare and file the EEI on its behalf
- Provide a power of attorney or written authorization to the authorized U.S. agent
- Make a License Determination
- Retain documentation

Authorized U.S. Agent Responsibilities:

- Obtain the authorization from the USPPI
- Provide transportation data
- Provide the USPPI with export information that it provided as submitted through the AES, if requested
- Retain documentation

Routed Export Transaction (§30.3 (e))

A routed export transaction is a transaction in which the FPPI authorizes a U.S. agent to prepare and file the EEI. While the FPPI will select the U.S. agent, it may give authorization to the USPPI to file the EEI. There are separate responsibilities for the U.S. Principal Party in Interest and authorized agent in a routed export transaction.

Responsibilities in a Routed Export Transaction (§30.3(e)(1))

U.S. Principal Party in Interest (USPPI) Responsibilities:

- File on behalf of the Foreign Principal Party in Interest (FPPI), if a power of attorney or written authorization is received.
- Provide authorized U.S. agent of the FPPI with specific export and licensing information.
- Upon request, the USPPI can receive a copy of the required export information that was submitted to the authorized U.S. agent to file.
- Obtain a writing from the FPPI (See EAR 758.3)
- Retain documentation
**Authorized Agent Responsibilities:**

**If authorized by the FPPI:**

- Obtain a Power of Attorney (POA) or written authorization from the FPPI
- Upon request, provide the USPPI with a copy of the POA or written authorization provided by the FPPI
- Prepare and file the EEI record
- Upon request, provide the USPPI with a copy of the data elements provided by the USPPI

**If authorized by the USPPI:**

- Obtain a POA or written authorization from the USPPI
- Prepare and file the EEI record
- Upon request, provide the USPPI with a copy of the data elements provided by the USPPI
- Maintain documentation

**Required Data Elements (§30.3 (e)(1))**

Below are the data elements that the U.S. Principal Party in Interest (USPPI) is required to provide to the authorized U.S. agent of the foreign party.

- Name and address of the USPPI
- USPPI’s ID number (EIN, SSN)
- State of Origin (State or FTZ)
- Schedule B Description of Commodities
- Schedule B Numbers
- Origin of goods indicator: Domestic (D), Foreign (F)
- Quantity/Unit of Measure
- Value
- ECCN or other Government Agencies License identifiers
- Sufficient technical information to determine licensing authority

**Power of Attorney and Written Authorization (Appendix A)**

A legal authorization, in writing, from a U.S. Principal Party in Interest or Foreign Principal Party in Interest stating that the agent has authority to act as the principal party’s true and lawful agent for purposes of moving the cargo and preparing and filing the Electronic Export Information (EEI) in accordance with the laws and regulations of the United States (§30.1).

Note: A Power of Attorney (POA) issued for authorization to move cargo only, is not the same as authorization to file the EEI, UNLESS specifically stated in said POA.